GRANTEE WORKSHOP

Preserve New Jersey Historic Preservation Fund
Capital Level I, Capital Level II, and Level III - Multiphase
2024 Grant Recipients





NJ HISTORIC TRUST BOARD





- > 3 Ex-Officio Members, representing
 - > Department of Community Affairs
 - > Department of Environmental Protection
 - Treasury

STAFF OF THE HISTORIC TRUST

- > Glenn Ceponis, Executive Director
- > Carrie Hogan, Fiscal Officer
- > Paula Lassiter, Principal Clerk
- > Kristin Downing, Technical Assistant

- > Historic Preservation Specialists:
 - > Alexis Alemy
 - > Jennifer Boggs
 - > Shannon Bremer
 - > Olivia Chaudhury
 - > Stephanie Kraut
 - Judith Murphy, AICP, PP
 - > Ashley Parker
 - > Tara Ritz





TODAY'S AGENDA

- > Overview of the Grant Agreement process
- > Overview of Grantee Info Packet and Grant Manual materials
- > Executing the Grant Agreement
- > Defining the Scope of Work
- > Archaeological Requirements
- > Administering an Active Grant
- > Closing out the Grant
- > Publicity for your Project
- > Q&A



GRANT AGREEMENT PROCESS

- 1. The Trust holds a Grantee Workshop to explain the administrative requirements of the Grant Agreement.
- 2. The Grantee submits the supporting documentation.
- 3. The Trust assembles the materials and sends two originals of the Grant Agreement to the Grantee for signature.
- 4. The Grantee signs and returns the two Grant Agreements to the Trust for signature by the Executive Director and Department of Community Affairs Fiscal Office; at this point the Agreement is executed.
- 5. Once the Agreement is executed, all reporting, signage, and easement requirements are in effect.

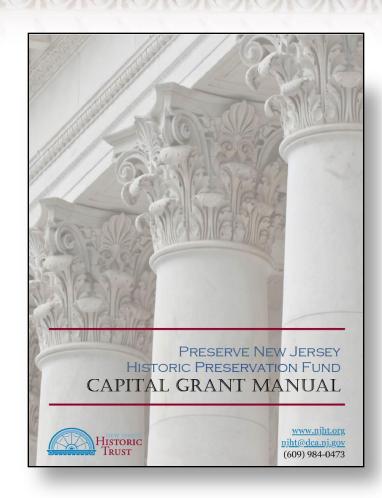
GRANT MATERIALS AND MANUAL

Grantee Info Packet:

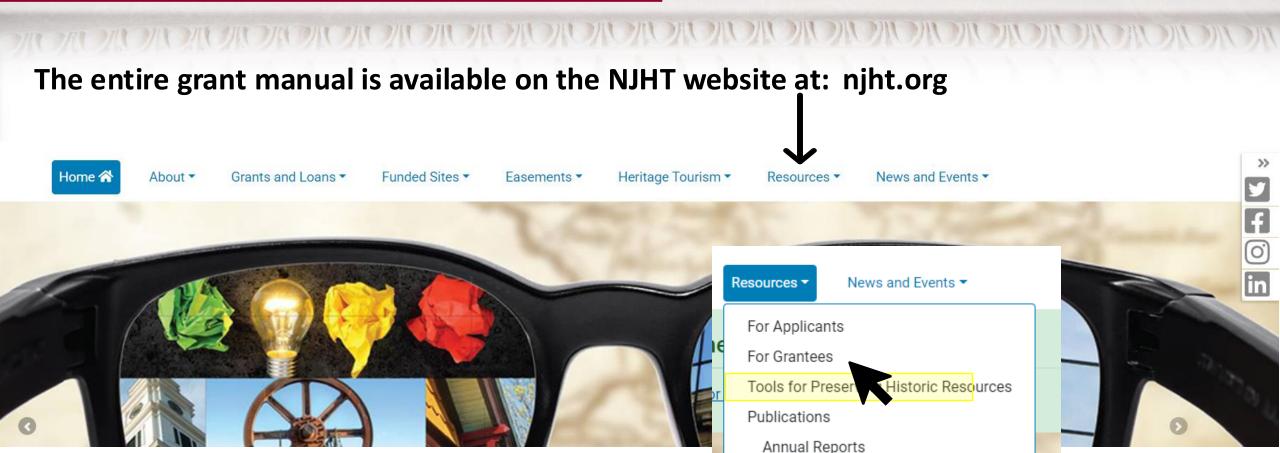
- > Documents for executing your Grant Agreement
- Please print, fill out, compile certifications and resolutions, then <u>mail</u> to the Trust by March 28

Grant Manual:

 Everything you need to know to successfully manage your grant project







Other Resources

Or click here:

https://www.nj.gov/dca/njht/resources/grantees/



Grant Agreement Check-list

Project #: Project Name:

Please refer to the project grant number and name (listed above) in all correspondence with the Historic Trust.

Be sure the following items are included with the package. Please note any missing items that will be submitted under separate cover (insurance certificates, board resolutions, etc.). If you have any questions about the required materials, please contact your Program Officer directly.

Must be received

- This Grant Agreement Checklist with completed items checked off
- Acceptance Letter with original signature
- Completed Grant Agreement Information Form and Contact Information sheet
- Insurance Certificate (with all categories completed by your insurance company and NJHT listed as Additional Insured)
- Attachment E Governing Body Resolution (with original signature <u>and</u> raised seal)
- Attachment G Statement of adequacy of accounting (with original signature)

Checklist:

Outlines all the items required to prepare the Grant Agreement for execution

Letter of Acceptance:

To be signed and returned with an ORIGINAL signature

Information Form:

- To be completed and returned to the Trust so that we can prepare the Grant Agreement document
- NJSTART:
 - Registration in NJSTART creates a vendor profile for grant reimbursement payments
 - First time grantees that are 501C3 nonprofit organization should submit a W-9 questionnaire to treasury at <u>aaiunit@treas.nj.gov</u>
 - The questionnaire can be found at: https://www.nj.gov/treasury/omb/pdf/forms/W9.pdf
 - Help Desk 609-341-3500
 - njstart@treas.nj.gov

CDANT	AGREEMENT	INFORMA	TION FORM

The following information is required for the Trust to generate your grant agreement. All fields are required.

Project Number: 2020.0090

Project Name: Ayres/Knuth Farmstead

- 1. Chief Financial Officer:
- 2. Organization's Federal I.D. Number
- 3. NI START Vendor LD. Number:
- If using Federal funds as matching dollars (example: Tea 21 grants), give Catalog of Federal Domestic Assistance (CFDA) Account Number:
- 5. Your Fiscal Year ends: (month)

(44)

- 6. Your Accounting Records use the following system (check appropriate system):
 - Cash Basis
 - Accrual Basis
 - □ Modified Accrual
 - Other (explain):
- Insurance

a) Request that the NJ Historic Trust be named as additional insured (and reference your project number) on the policy for each insurance Type listed below. Refer to "Insurance Requirements" in your Grant Manual for the Trust's expectations for insurance. If you checked any boxes labeled "Self-Insurance," submit a letter indicating the specific types and levels of self-insurance coverage. In the case of any exemptions, submit a certificate from the New Jersey Commissioner of Banking & Insurance identifying the specific exemption.

b) Ask your Insurance Company to submit a copy of your Certificate of Insurance to the Historic Trust, Please check appropriate insurance coverage below:

Comprehensive General Liability:

- Insurance
- □ Self-Insurance

Automobile Liability:

- Insurance
- □ Self-Insurano
- □ Organization does NOT own or lease vehicles in its name

Workers' Compensation:

- Insurance
- □ Self-Insurance
- Organization has no paid employees

Employers' Liability:

- □ Insurance
- □ Self-Insurance
- Organization has no paid employees

Insurance:

- Grantee must maintain insurance for the term of the Grant Agreement and the <u>full term</u> of the easement, if you have one
- > Grantee must provide a COI Acord certificate of insurance
- > NJ Historic Trust *must* be named as an additional insured
- Request that the Insurance Company add your Project Number on the Certificate of Insurance
- Grantee must submit Certificates of Insurance and/or documentation of Self-insurance directly to the Trust

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Governing Body / Board Resolution:

- > Your governing body needs to pass a resolution
- > The individual authorized in Attachment E must be the same individual who will sign the Grant Agreement
- > The resolution must be imprinted with a government, corporate, or notary seal or official stamp
 - Resolutions that are not properly certified cannot be accepted

Project Name: Project Number:		ATTACHMENT E
	E NEW JERESY HISTORIO TERED BY THE NEW JER	
GOVERNING BODY / B	BOARD RESOLUTION	
The governing body/board	d of	desires to further historic
reservation through a gra	ant from the New Jersey Histo	oric Trust, State of New Jersey in the
mount of § for	the following project	
Therefore, the governing b	body authorizes	(Insert Name and
Γitle of Authorized Signat	atory) to execute a grant agree	ment with the State in an amount up to
hat awarded for the propo	osed project, and to seal the g	rant agreement.
Introduced and passed Ayes: Noes:	, 2020	
Absent:	Approved:	
	(Signature of Mayor, Fre	reholder Director, or Board Chairperson)
	Title:	
Attested: (Signature of Mun	Title:nicipal or County Clerk, Board	
(Signature of Mun		
(Signature of Mun	aicipal or County Clerk, Board	

	A GRANT AGREEMENT BETWEEN STATE OF NEW JERSEY NEW JERSEY HISTORIC TRUST AND
	Organization Name (Grantee)
	2019(Project Number)
ST	TATEMENT OF ADEQUACY OF ACCOUNTING SYSTEM
Ia	um the (Insert Title of Chief Financial Officer or Treasurer) of
_(Organization (Grantee) and, in this capacity, I will be responsible for establishing and
ma	aintaining the financial statements for Grant Number 2019
	ne accounting system that will be established and maintained for the purpose of this proposed intract/ grant will be adequate to:
1.	Provide for accurate identification of the receipts and expenditures for items to be reimbursed by the New Jersey Historic Trust;
2.	Provide for documentation supporting each book entry, filed in such a way that it can be easily located;
3.	Provide accurate and current financial reporting information;
4.	Be integrated with a strong system of internal controls and;
5.	Will conform to any and all requirements or guidelines that the New Jersey Historic Trust may issue including Section VIII and Section XI of the Grant Agreement.
Si	gnature of Chief Financial Officer / Treasurer
Na	ame (Print or Type) Date

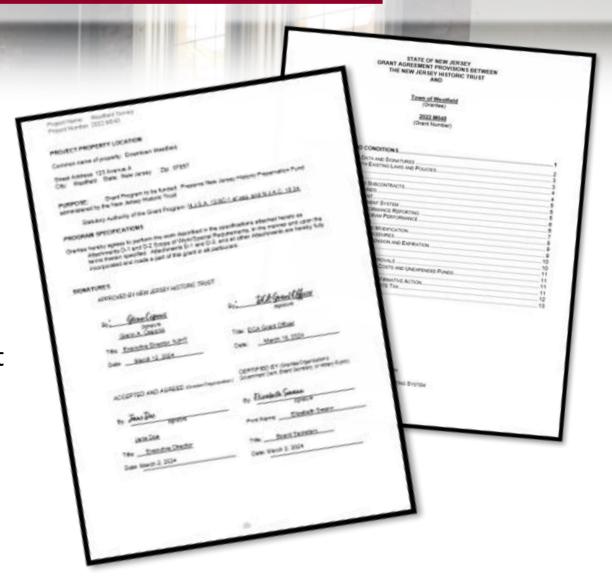
Statement of Adequacy of Accounting System:

- Your CFO or organization's treasurer must review the requirements of the Grant Agreement (staterequired audits and reporting) and sign this form
- Your CFO/Treasurer cannot be the same person authorized by resolution to execute the Grant Agreement
- Your CFO certifies reimbursement requests and payment vouchers

EXECUTED GRANT AGREEMENT

Complete Info Packet

- > Surface mail completed info packet to PO
- > PO develops Scope of Work (D-1)
- PO drafts Grant Agreement and mails two original copies to Grantee
- Grantee signs and certifies both copies and returns both to PO
- Historic Trust and DCA Fiscal Services sign Grant Agreement
- One original Grant Agreement is mailed to Grantee





DEFINING THE SCOPE OF WORK

Attachment D-1 (Scope of Work):

- > The Grantee will need to review and approve the Attachment D-1
- Unless changes were made by the Trust during the application period, the Scope of Work in the Grant Agreement should correspond with the Scope of Work submitted in the Grantee's application to the Trust

ATTACHMENT D-1, SCOPE OF WORK

Name of Grantee: Project Name: Project Number: Grant Award Amount: \$

- I. OVERVIEW OF PRESERVATION OBJECTIVES OF ENTIRE PROJECT
- II. PROJECT REVIEW AUTHORITY

The New Jersey Historic Trust will review the planning documents (and special testing reports) for this project. The Trust will also review the contract documents, plans, specifications, etc. according to The Secretary of the Interior's Standards for Treatment of Historic Properties (1995), as well as oversee compliance under a Memorandum of Understanding plans and specifications) will be reviewed for compliance under a Memorandum of Understanding between the NIHT and NI HPO and for compliance with the Secretary of the Interior's Standards for the Treatment of Historic Properties:

- III. ACTIVITIES FUNDED BY THIS GRANT
- III.A Description of Work to be Funded with this Grant

The scope of work of this grant includes:

- Non-construction costs directly related to the funded work:
 - Architectural and engineering services by relevant to the construction work listed below (including schematic design, design development, contract documents, and construction administration).
 - The contract documents must set minimum qualifications for all general contractors and subcontractors using language similar to below:

The bidder shall demonstrate successful experience in the restoration of historic buildings using the Secretary of the Interior's Standards for the Treatment of Historic Properties on at least two projects of similar size and scope of work as the subject project within the past five years, at least one of which was reviewed and approved by a state Historic Preservation Office, the New Jersey Historic Trust, or the historic review body of a county or municipal authority.

Pre-gualification statements from general contractors must be submitted for NJHT review and approval before bid documents are distributed. Subcontractor qualifications are to be submitted for NJHT review and approval with bids.

Preparation of Project Completion Report which shall include (unless submitted with periodic reports): marrative description with photographs of all completed work; drawings, specifications, reports, and other records documenting the work if not included in earlier submissions; as-built drawings of all phases of work; revised D-1 Scope of Work showing work completed and actual money spent; names of contracted firms with duties identified; final employment figures; any recommendations for future treatment.

DEFINING THE PROJECT SCOPE

ATTACHMENT D-1, SCOPE OF WORK

Name of Grantee: Project Name: Project Number: Grant Award Amount: \$

OVERVIEW OF PRESERVATION OBJECTIVES OF ENTIRE PROJECT

II. PROJECT REVIEW AUTHORITY

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III. ACTIVITIES FUNDED BY THIS GRANT

III.A Description of Work to be Funded with this Grant

The scope of work of this grant includes:

- 1. Non-construction costs directly related to the funded work:
 - Architectural and engineering services by relevant to the construction work listed below (including schematic design, design development, contract documents, and construction administration).
 - The contract documents must set minimum qualifications for all general contractors and subcontractors using language similar to below:

The bidder shall demonstrate successful experience in the restoration of historic buildings using the Secretary of the Interior's Standards for the Treatment of Historic Properties on at least two projects of similar size and scope of work as the subject project within the past five years, at least one of which was reviewed and approved by a state Historic Preservation Office, the New Jersey Historic Trust, or the historic review body of a country or municipal authority.

Pre-qualification statements from general contractors must be submitted for NJHT review and approval before bid documents are distributed. Subcontractor qualifications are to be submitted for NJHT review and approval with bids.

c. Preparation of Project Completion Report which shall include (unless submitted with periodic reports): narrative description with photographs of all completed work; drawings, specifications, reports, and other records documenting the work if not included in earlier submissions; as-built drawings of all phases of work; revised D-1 Scope of Work showing work completed and actual money spent; names of contracted firms with duties identified; final employment figures; any recommendations for future treatment.

Attachment D-1 (Scope of Work):

- Includes project budget with grant award amount
- > Brief history and statement of significance
- > Identifies the Project Review Authority
- Defines the agreed upon work that will be funded by the grant

D-1 SCOPE OF WORK

Preserve New Jersey Historic Preservation Fund					
CAPITAL PROJECT BUDGET WORKSHEET					
Non-Construction Items (N/C)	Match Expended	Proposed	Subtotal	Non-eligible Costs	Total
	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0.00	\$0.00	\$0.00	\$0.00	
Non-Construction Total	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Construction (C)	Match Expended	Proposed	Subtotal	Non-eligible Costs	Total
Div 1 - General	0.00	0.00	0.00	0.00	500.00
Div. 2 - Existing Conditions	0.00	0.00	0.00	0.00	0.00
Div. 3 - Concrete	0.00	0.00	0.00	0.00	0.00
Div. 4 - Masonry	0.00	0.00	0.00	0.00	0.00
Div. 5 - Metals	0.00	0.00	0.00	0.00	0.00
Div. 6 - Wood/Plastic	0.00	0.00	0.00	0.00	0.00
Div. 7 -Thermal/Moisture	0.00	0.00	0.00	0.00	0.00
Div. 8 - Doors/Windows	0.00	0.00	0.00	0.00	0.00
Div. 9 - Finishes	0.00	0.00	0.00	0.00	0.00
Div. 10 - Specialties	0.00	0.00	0.00	0.00	200.00
Div. 11 - Equipment	0.00	0.00	0.00	0.00	0.00
Div. 12 - Furnishings	0.00	0.00	0.00	0.00	0.00
Div. 13 - Special Const.	0.00	0.00	0.00	0.00	0.00
Div. 14 - Conveying Syst.	0.00	0.00	0.00	0.00	0.00
Div. 21 - Fire Suppression	0.00	0.00	0.00	0.00	0.00
Div. 22 - Plumbing	0.00	0.00	0.00	0.00	0.00
Div. 23 - HVAC	0.00	0.00	0.00	0.00	0.00

Attachment D-1 (Scope of Work):

- > Includes list of activities to be funded (listed by construction division)
- Includes your non-construction budget (cannot exceed 20% of the <u>total</u> grant funded project budget)
 - > Total Project Budget = Grant Award +Match Requirement
- Archaeology costs may be included in your construction budget
- Includes project-specific considerations for archaeology

D-1 EXPENDITURE SPREADSHEET

Attachment D-1 Expenditure Spreadsheet:

- > The D-1 expenditure spreadsheet is included in your grant agreement and corresponds with the funded activities listed by construction division in the D-1 form
- > Includes non-construction budget and activities
- > Shows both proposed costs and any match-expended

	Match Expended	Proposed	TOTAL PROJECT
TOTALS (N/C + C)	\$ -	\$ -	\$ 1,333,000.00
		Grant Award	\$ 500,000.00
		Required Match	\$ 500,000.00
			+
		Actual Match	\$ 833,000.00

PROJECT EXPENDITURE WORKSHEET

III B. Schedule of Values

TOTAL PROJECT

Non-Construction (N/C)	Match Expended	Proposed	Subtotal
	0.00	0.00	0.00
	0.00	0.00	0.00
	0.00	0.00	0.00
	0.00	0.00	0.00
	0.00	0.00	0.00
N/C Total	s .	\$ -	\$ -

Construction (C)	Match Expended	Proposed	Subtotal
Div. 1 - General	0.00	500.00	500.0
Div. 2 - Existing Conditions	0.00	0.00	0.0
Div. 3 - Concrete	0.00	0.00	0.
Div. 4 - Masonry	0.00	0.00	0.
Div. 5 - Metals	0.00	0.00	0.
Div. 6 - Wood/Plastic	0.00	0.00	0.
Div. 7 -Thermal/Moisture	0.00	0.00	0.
Div. 8 - Doors/Windows	0.00	0.00	0.
Div. 9 - Finishes	0.00	0.00	0.
Div. 10 - Specialties	0.00	200.00	200.
Div. 11 - Equipment	0.00	0.00	0.
Div. 12 - Furnishings	0.00	0.00	0.
Div. 13 - Special Const.	0.00	0.00	0.
Div. 14 - Conveying Syst.	0.00	0.00	0.
Div. 21 - Fire Suppression	0.00	0.00	0.
Div. 22 - Plumbing	0.00	0.00	0.
Div. 23 - HVAC	0.00	0.00	0.
Div. 24 - Reserved	0.00	0.00	0.
Div. 25 - Integrated Automation	0.00	0.00	0
Div. 26 - Electrical	0.00	824,833.00	824,833.
Div. 27 - Communications	0.00	56,313.00	56,313.
Div. 28 - Security	0.00	80,540.00	80,540
Div. 31 - Earthwork	0.00	370,614.00	370,614
Div. 32 - Ext. Improvements	0.00	0.00	0
Div. 33 - Utilities	0.00	0.00	0.
Div. 34 - Transportation	0.00	0.00	0
Div. 35 - Waterways/Marine	0.00	0.00	0
Div. 40 - Process Interconnections	0.00	0.00	0
Div. 41 - Processing/Handling Equipment	0.00	0.00	0
Div. 42 - Heating/Cooling/Drying Equipment	0.00	0.00	0
Div. 43 - Gas/Liquid Equipment	0.00	0.00	0
Div. 44 - Pollution Control Equipment	0.00	0.00	0.
Div. 45 - Manufacturing Equipment	0.00	0.00	0.
Div. 46 - Water and Wastewater Equipment	0.00	0.00	0.
Div. 48 - Electrical Power Generation	0.00	0.00	0.
Const. Totals	\$ -	\$ -	\$ 1,333,000.

	Match Expended	Proposed	TOTAL PROJECT
TOTALS (N/C + C)	\$ -	\$ -	\$ 1,333,000.00

Grant Award	\$ 500,000.00
Required Match	\$ 500,000.00
Actual Match	\$ 833,000.00
Ratio	1:1

PROJECT SCHEDULE

Attachment D-1 (Scope of Work):

- > Includes project schedule deadlines:
 - Grant agreement must be executed within 18 months of the Agreement Commencement date
 - > Work must <u>begin</u> within 24 months of the Agreement Commencement Date
 - > All work must be <u>completed</u> within four years of the commencement date
 - > The grant agreement <u>expires</u> 5 years from the commencement date

Waterways and Marine Construction Process Interconnections Material Processing and Handling Equipment Process Heating, Cooling, and Drying Equipment Process Gas and Liquid Handling, Purification and Storage Equipment Pollution Control Equipment Industry-Specific Manufacturing Equipment Water and Wastewater Equipment Division 48: Electrical Power Generation Line Item Costs for Work to be Funded with this Grant. See the following page for the Schedule of Values. (Note: item amounts may be estimates.) PROJECT SCHEDULE: Agreement Commencement Date Agreement Execution Deadline Work Period Expiration Date: Agreement Expiration Date: Created: Date by Program Officer

YOUR PROJECT SCHEDULE

TBD, 2025	The <u>Agreement Commencement</u> date is the day the Governor signs your appropriations bill
TBD, 2025	The Work Period Commencement date may be the same or the day you started work
TBD, 2026	The grant agreement must be executed by the Agreement Execution Date
TBD, 2027	Work must begin by the <u>Project Commencement Deadline</u>
TBD, 2029	Work must be completed by the Work Period Expiration Date
TBD, 2030	Grant must be closed out by the Agreement Expiration Date

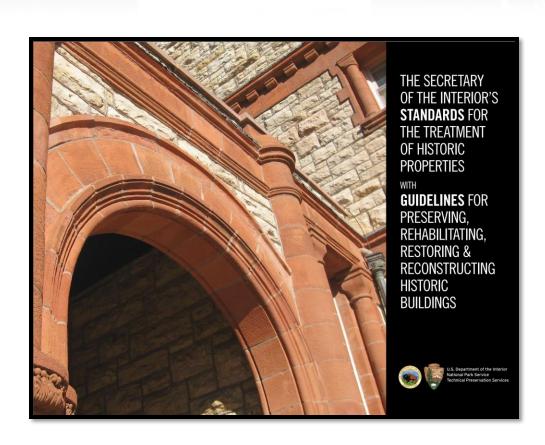
PROJECT TEAM

Project consultants:

- > Must meet Professional Qualification Standards
- > NJHT staff <u>must approve all consultants</u> working on the grant funded project
 - Consultants included with the original grant application are considered approved unless otherwise stated
 - Grantees must seek approval for all consultants that were not included in the original grant application package



PROJECT REQUIREMENTS



- All work must meet the Secretary of the Interior's
 Standards for the Treatment of Historic Properties
- Archaeology required for capital projects where there will be ground disturbance
 - All projects are reviewed for archaeology considerations before the D-1 is finalized and the Grant Agreement can be executed
- For questions regarding work authorizations for public resources, please contact your Program Officer

NEW JERSEY STATE REQUIREMENTS

Financial Management:

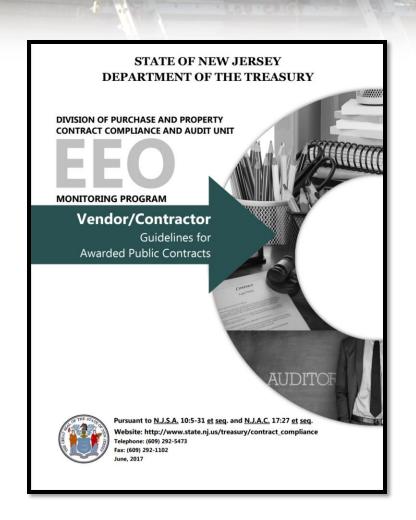
- > Audits
 - > Audits are ineligible for reimbursement

Affirmative Action:

- > Nondiscrimination language and policy
- Consultant to provide evidence of compliance

Prevailing Wage:

> County and Municipal owned properties





WHEN IS ARCHAEOLOGY REQUIRED?



If ground disturbance occurs, archaeological consideration is required.

Examples of ground disturbing activities:

- Excavation of trenches for utility services and site drainage
- > Exterior foundation work
- > Installation of signage
- > Construction or expansion of walkways, driveways, and parking
- > Removal and installation of porch footings
- Removal and installation of footings located below basement floor grade and repairs to basement floors
- > Excavation related to ADA site improvements

POTENTIAL APPROACHES



> Archaeological Management Plan

- Avoid last-minute problems
- Guide preservation efforts by addressing specific archaeological needs
- Phase I Survey "Is there archaeology here?"
- > **Phase II Survey –** "There is archaeology, but is it significant?"
- Phase III Survey "There is potentially or certainly significant archaeology here and we need to fully excavate it in order to understand it or to mitigate the project's affects."
- Archaeological monitoring is primarily a mitigation strategy and may only be used in select circumstances to be approved by the Trust

WHAT IS REQUIRED?



If archaeology is a required component of your project, next steps should include:

- > Consultation with a qualified professional archaeologist
- > Submission of a proposal and work plan
- Investigation in accordance with Secretary of the Interior
 Standards and the NJ Register of Historic Places Act
- > Submission of a draft report for NJHT review
- Consideration of the results of the investigation in comparison with the current or proposed future project
- > Submission of one hard copy and one digital copy of the final approved report



Archaeological Survey and Reporting Requirements of New Jersey Historic

ust Grant-Funded Work

Why is the consideration of archaeology required?

Archaeological resources are protected by both Federal and State laws and regulations. Properties the NJHT may have potential for the presence of archaeological remains important in history or p

When is the consideration of archaeology required?

When a project involves ground disturbance including, but not limited to, the following:

- Excavation of trenches for utility services and site drainage,
- · Work to existing foundations and footings, including those located below basement floor
- · Installation of interpretive signage,
- Construction or expansion of walkways, driveways, and parking lots,
- · Removal and installation of porch footings,
- · Repairs to basement floors,
- Excavation related to ADA site improvements.

The NJHT strongly recommends that archaeology is considered regardless of whether it is require long-term stewardship of a historic resource, as well as to inform a site's history and guide future

My project will involve ground disturbance but I'm pretty sure the ground has been disturbed in the past. Is archaeological monitoring sufficient?

Archaeological monitoring as a first course of action is not considered to be best practice, unless sufficient evidence indicating that there is little to no potential for archaeological resources within the APE can be provided, such as in most gravel pits, road cuts, and pipeline trenches. Some forms of historic



Please note that the following guidance is applicable only for projects where archaeological monitoring has been approved.

What is an archaeological monitoring protocol?

An archaeological monitoring protocol is a document stating critical project information as well as the conditions under which the presence of an archaeological monitor are required. It is the responsibility of the applicant, grantee, and/or their consultant team to ensure that the protocol is referenced in all project plans, documents, construction manuals, and specifications. The preparation and distribution of an archaeological monitoring protocol is required for NJHT-funded projects where archaeological monitoring will occur.

Who drafts the protocol?

A contracted, qualified professional archaeologist providing archaeological monitoring services for a project will draft the protocol. The protocol must be submitted to the New Jersey Historic Trust for review and approval prior to construction.

What should be included in the protocol?

It is recommended that archaeological monitoring protocols include the following information, at a minimum:

ed that archaeological investigations be scheduled to occur prior to or during the sign plans or construction documents so as not to impact construction schedules. ical survey and reporting must be in keeping with the Secretary of the Interior's is for Archaeology and Historic Preservation (Federal Register, Volume 48, No. September 29, 1983). Survey efforts must comply with the New Jersey Historic interments for Phase I Archaeological Survey at N.J.A.C. 7:4-8.4. The individual(s) opical work must meet the Secretary of the Interior's Professional Qualifications by (48 CFR 44738-9).

OGICAL INVESTIGATION
COMPLETE, THE
ONENTS MUST BE

E REVIEW

/EY/EXCAVATION

ROCESSING/ANALYSIS

REPARATION

If evidence of a potentially significant archaeological site is found, the following solutions may be proposed: a) modify design plans and construction documents to avoid or minimize impacts or b) conduct a second phase of more intensive archaeological investigations. This latter phase should be structured to retrieve sufficient information to interpret a site or to provide measures for its protection. In some instances, it may be desirable to conduct a third phase consisting of complete data recovery. Allocating sufficient time toward the completion of archaeological investigation in advance of construction is important due to the potential requirement for altering project plans based

https://www.nj.gov/dca/njht/programs/preservenj/overview/

PUBLIC ARCHAEOLOGY



If archaeology is a required part of your project, consider going public!

- > Public engagement
- > Training opportunity
- > Build partnerships
- > New information
- > Artifacts





COMMUNICATION WITH THE TRUST

Send to the Trust:

- > Planning documents funded by this grant
 - One hard copy and one digital copy
- Construction drawings and specifications
- > Contractor prequalification and bidding forms in draft form
- Contractor prequalification forms as completed by contractors
- Accepted bids

During construction:

- > Trust should receive all meeting notices
- > Trust should receive all meeting minutes
- > Trust should receive any changes to the approved project
- > Grantee is responsible for communication



Know your program staff contact

Questions?

Problems?

Good news?

When in doubt, call or email... or both!

SUBMITTING QUARTERLY REPORTS

Attachment C-1:

- > Follow checklist of items and include:
 - > Narrative
 - > Team List
 - > Project Schedule
 - Submissions
 - Certification

Submit via:

- > Via email for a report with no reimbursement request
- > Via postal delivery for a reimbursement request
 - > Payment voucher must have original signature
- > Send a report even if there's no activity!

Reports are due:

- ✓ April 15
- ✓ July 15
- ✓ October 15
- ✓ January 15

Project Name: Project Number

ATTACHMENT C-1

New Jersey Historic Preservation Fund CAPITAL PRESERVATION GRANT QUARTERLY PERFORMANCE REPORT & REIMBURSEMENT FORM

Organization:	
Project Contact:	Phone:
Email:	-
Report Due Date: Reporting Period: from	to
provide the reimbursement checklist items below.	reimbursement payment on grant is requested, also If there is no reimbursement request, the report can be ement, the report must be sent hard copy with original
Quarterly Performance Report: Attachment C-1, pgs. C-1.1 - C-1.3 Current Status and Phase update (item 1) Project Team List (item 2) Natrative Description (item 3) Current schedule (item 4) Photographs (item 5) Other, Materials (item 6: specify): drawings project meeting minutes list/schedule of change orders (if applicable) Other. Signature Certification, page C-1.3 (Item 7))
Reimbursement: Completed performance Report (as above) Attachment C-1, pgs. C-1.4 - C-1.5 Copies of Invoices attached to correspondin, Statement of Expenditures Spreadsheet State of New Jersey Payment Voucher (with Other attachments (specify):	g cancelled checks original signature of CFO or Treasurer)

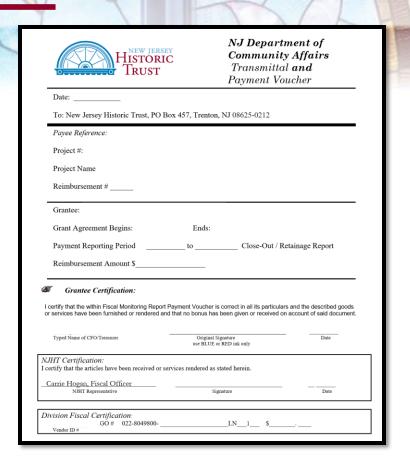
Reporting Schedule: Performance Reports are due quarter

Reporting Periods	Quarterly Due Date
Jan. 1 - March 31	April 15
April 1 – June 30	July 15
July 1 - Sept. 30	Oct. 15
Oct. 1 – Dec. 31	Jan 15

(C-1.1)

REIMBURSEMENT REQUESTS

- > Project sign installed and photo documentation submitted to the Trust
- > Easement recorded (when required)
- Each request for reimbursement must include all necessary documentation of invoice and proof of payment from financial institution
- During construction, requests should include additional documentation not already submitted, such as meeting minutes, progress photographs, change orders
- > State payment voucher will not be paid without it!
 - Requires <u>original signatures</u> of designated CFO
 - > Payment will be disbursed after fiscal review
 - Registering for direct deposit payments through the State's ACH system will expedite the payment process: www.nj.gov/treasury/omb/pdf/forms/achform.pdf



REIMBURSEMENT REQUESTS

- Retainage is held on every reimbursement and will be given to you upon the close out of your project
- Multiplier takes into consideration your grant award + retainage
 - Capital Level 1 multiplier: 0.57
 - Capital Levels 2 and 3 multiplier: 0.475

	rve New Jersey CAPITAL PRE REQUEST FO	SERVATION	GRANT	
Project Number:	Project Na	ame:		
Organization:				
Reporting Period: from:	to	:		
Attach photocopies of each is order that they appear below. deducted from reimbursement	Append continuati	on pages as ne	cessary. (Note: A	
				+
Total Cost:(Allowable Expenses this period)			\$	\$
Total Grant Amount	\$	_		
Amount Now Requested otal Cost in period x .475)	\$	_		
Amount Previously Requested	\$	_		
Balance of Grant Remaining [A-(B+C)]	\$	_		
ertify that the above disburseme				

Capital Level 1

A. Total Grant Amount	\$
B. Amount Now Requested (Total Cost in period x .57)	\$
C. Amount Previously Requested	\$
D. Balance of Grant Remaining [A-(B+C)]	\$

Capital Levels 2 and 3

A. Total Grant Amount	S
B. Amount Now Requested (Total Cost in period x .475)	\$
C. Amount Previously Requested	\$
D. Balance of Grant Remaining [A-(B+C)]	\$





Design review:

- > Prior to construction
- > During construction

Plans and specifications:

- > Draft submission
- > Final documents (incorporating Trust's comments if needed)

Bid packages must be approved before distribution.

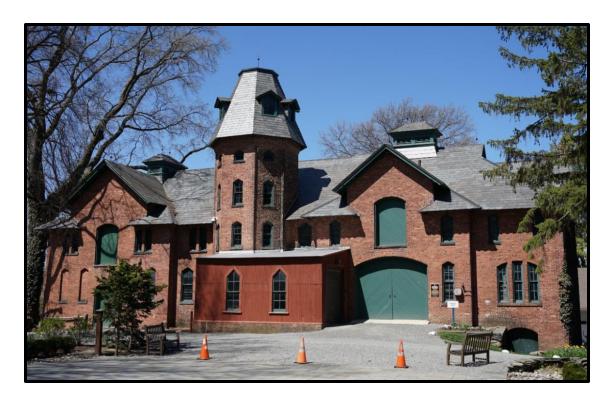
REQUIRED SIGNAGE

- > Trust requires a temporary project sign during the construction period
 - > Sign Specs
- Trust requires installation of a permanent marker or plaque for completed construction projects
 - Some grantees may incorporate information for a marker into larger interpretive signage
- > "Funding has been made possible in part by the Preserve New Jersey Historic Preservation Fund administered by the New Jersey Historic Trust, State of New Jersey"
 - <u>Permanent Marker Information</u>





- > Legal agreement that provides protection to the historic resource and our investment in it for a specific length of time
- > Non-Profits with grant awards over \$50,000
- > Length of Easement
 - > \$50,001-\$100,000: 15 Years
 - > \$100,001-\$450,000: 20 Years
 - > More than \$450,000: 30 Years





4.16

STANDARD CERTIFICATION TO ACCOMPANY PREQUALIFICATION REGULATIONS SUBMITTED TO DIRECTOR, DIVISION OF LOCAL GOVERNMENT SERVICES, DEPARTMENT OF COMMUNITY AFFAIRS

- A. Applicability of Prequalification Regulations
 - 1. Type of projects, goods or services regulations would apply to
- B. Status of Proposed Regulations: (check one)

New regulations

Reestablishment of old regulations

If reestablished, date last approved by Director (provide prior approval letter)

Amendment of existing regulations

Date existing regulations approved by Director (provide prior approval letter)

C. Summary of Proposed Regulation

Please provide all criteria which prospective bidders will be required to <u>meet, and</u> explain how said criteria is pertinent and reasonably related to the goods or services to be provided or performed. Add additional sheets as necessary.

D. Public Hearing on Prequalification Regulations

Date of public hearing

> Pre-qualification of contractors:

- > All projects
 - > Prequalification Regulations
 - > Bidder's Questionnaire
- > Units of government and resources owned by government entities:
 - Must coordinate the pre-qualification and bidding process with DCA's Division of Local Government Services

CONTRACTORS AND BIDDING

Municipal and County:

- Develop prequalification questionnaire with consultant and Trust staff
- Schedule public hearing announcing project
- Grantee sends adopted regulations to Local Government Services for approval
- Distribute and advertise prequalification questionnaire
- Submit completed questionnaires to consultant and Trust staff for review and approval
- Distribute bid documents to prequalified prospective bidders
- Award contract to lowest responsible bidder

Non-profits:

- Develop prequalification questionnaire with consultant and Trust staff
- Distribute and advertise prequalification questionnaire
- Submit completed questionnaires to consultant and Trust staff for review and approval
- Distribute bid documents to prequalified prospective bidders
- Award contract to lowest responsible bidder

Initiation of prequalification by grantee to award of contract may take at least 4-5 months

AMENDING THE GRANT AGREEMENT

Reasons to amend the Grant Agreement:

- > Change to project schedule
- > Revised scope of work
- > Change in consultant or contractor

Request for Major Change (Attachment D-2):

- Complete request before the end of the work period or Grant Agreement deadline
- All requests are reviewed by the Grants and Loans Committee

General terms and conditions of the Grant Agreement are non-negotiable





CLOSING OUT THE GRANT



- > Final Report & Reimbursement Request
 - > Attachment C-2 Follow Checklist
 - > Submit final and approved scope of work items
 - Copies of invoices attached to corresponding canceled checks/proof of payment from financial institution
 - > Transmittal/Payment Voucher (with original signature of CFO or Treasurer)
- You will receive the remaining grant award including the retainage held by the Trust

CLOSING OUT THE GRANT

Final Report Must Include:

- ✓ Narrative of project
- ✓ Before and after photographs
- ✓ Photograph of permanent plaque installed
- ✓ Employment figures from consultant and contractor
- ✓ Revised Scope of Work (if necessary)
- ✓ Final total project cost
- ✓ As-built drawings (one hard copy and one digital)
- ✓ Close-out letter from consultant certifying project is complete



MULTIPHASE PROJECTS



Subsequent Capital phases are contingent upon:

- > Completion of current capital phase
- > Passage and signing of an appropriation bill
- > Execution of a new grant agreement for the next proposed phase of work



GOALS OF YOUR PUBLICITY PROGRAM







- > Maintain visibility of project and organization during preservation campaign
- > Increase attendance and/or membership for programs and activities
- > Attract new funding support and additional resources



OUR MUTUAL PUBLICITY GOALS







- > Promote and raise awareness of history and historic preservation in New Jersey
- > Ensure ongoing funding for historic preservation



JOURNEY THROUGH JERSEY

- > Visit www.journeythroughjersey.com to nominate your site for inclusion in this valuable and <u>free</u> heritage tourism marketing tool
- Tag Journey Through Jersey on your Facebook and Instagram posts:
 - > @journeythroughjersey
 - > #journeythroughjersey
- > Add the Journey Through Jersey link and logo to your website!
- To write a blog post or be otherwise featured on Journey Through Jersey, email: info@journeythroughjersey.com













STAY IN TOUCH WITH US

- > Facebook, Instagram, BlueSky, and LinkedIn
 - > @njhistorictrust









- Tag us in posts, send us news coverage, progress photos, events, or any other content you'd like us to share (no guarantees but we post as much as we can)
- > Please re-share our posts and link to our site.





QUESTIONS?

Program Officers:

- Alexis Alemy alexis.alemy@dca.nj.gov
- > Jennifer Boggs jennifer.boggs@dca.nj.gov
- > Shannon Bremer shannon.bremer@dca.nj.gov
- Olivia Chaudhury olivia.chaudhury@dca.nj.gov
- > Stephanie Kraut stephanie.kraut@dca.nj.gov
- > Judith Murphy, AICP, PP judith.murphy@dca.nj.gov
- > Ashley Parker ashley.parker@dca.nj.gov
- > Tara Ritz tara.ritz@dca.nj.gov

Fiscal Officer:

Carrie Hogan – carrie.hogan@dca.nj.gov

Please direct questions pertaining specifically to your project to your Program Officer after the workshop.



